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12974 U.S. PTO

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VPI98-104 CIP CON

Applicant(s) : Keisuke Kuida et al.

For : CASPASE-9 DEFICIENT ANIMALS AND USE THEREOF

EXPRESS MAIL CERTIFICATION

EK 157595675

"Express Mail" mailing label number \_\_\_\_\_ US

Date of Deposit \_\_\_\_\_ December 1, 2000

I hereby certify that this transmittal letter and the other papers and fees identified in this transmittal letter as being transmitted herewith are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Hon. Commissioner for Patents, Washington, D.C. 20231.

Helene Lustgarn

Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER FOR RULE 53(b)  
CONTINUING PATENT APPLICATION

Sir:

☒ This is a request for filing a continuation, divisional, application of pending prior Application No. PCT/US99/11861, filed May 28, 1999 entitled CASPASE-9 DEFICIENT ANIMALS AND USE THEREOF.

☒ Transmitted herewith for filing are the specification; claims; abstract; executed Declaration and Power of Attorney; Statement to Verify Content of Computer Readable Form Submission Under 37 C.F.R. § 1.821(f); Computer Readable Form; Paper Sequence Listing; [X] Preliminary Amendment; Information Disclosure Statement; and Form PTO-1449 and documents cited therein for the above-identified patent application.

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The enclosed declaration is:

- ☐ Newly executed (original or copy).
- ☒ Copy from a prior application (37 C.F.R. § 1.63(d)).
- ☐ A signed statement is attached deleting inventors named in the prior application (37 C.F.R. §§ 1.63(d)(2) and 1.33(b)).
- ☐ The entire disclosure of the prior application, from which a copy of the declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☐ The prior application, Application No. \_\_\_\_\_, filed \_\_\_\_\_, is assigned of record to \_\_\_\_\_.

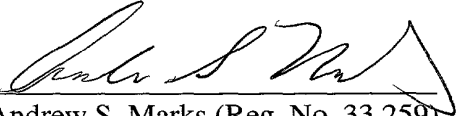
Also transmitted herewith are:

- ☒ 24 sheets of specification.
- ☐ Formal drawings.
- ☒ Informal drawings. Formal drawings will be filed during the pendency of this application.
- ☐ An assignment of the invention
- ☐ A check in the amount of \$40.00 to cover the recording fee.
- ☒ Please charge \$40.00 to Deposit Account No. 50-0725 in payment of the recording fee. A duplicate copy of this transmittal letter is transmitted herewith.
- ☐ An associate power of attorney.
- ☐ A certified copy of the priority document, \_\_\_\_\_ application, No. \_\_\_\_\_, filed \_\_\_\_\_.
- ☐ Applicants claim small entity status under 37 C.F.R. § 1.27.

The filing fee has been calculated as shown below:

FOR	NUMBER FILED		NUMBER EXTRA		RATE	FEE
BASIC FEE						\$ 710.00
TOTAL CLAIMS	7	- 20	= 0	x	\$ 9	= \$ 0.00
INDEPENDENT CLAIMS	3	- 3	= 0	x	\$ 40	= \$ 0.00
<input checked="" type="checkbox"/> A MULTIPLE DEPENDENT CLAIM					+ \$135	= \$ 0.00
TOTAL						\$ <u>710.00</u>

- ☒ A check in the amount of \$\_\_\_\_\_ in payment of the filing fee is transmitted herewith.
- ☐ This application is being filed unaccompanied by a filing fee. The appropriate filing fee will be paid in response to a Notice to File Missing Parts, pursuant to 37 C.F.R. § 1.53(b).
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16 in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 50-0725. A duplicate copy of this transmittal letter is transmitted herewith.
- ☒ Please charge \$710.00 to Deposit Account No. 50-0725 in payment of the remainder of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

  
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